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PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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7

Application Number

09/783,146

Filing Date

February 13, 2001

First Named Inventor

Marc VanHeyningen

Art Unit

2134

Examiner Name

Christopher J. Brown

Attorney Docket Number

PA4405US

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

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Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

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Extension of Time Request

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Express Abandonment Request

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Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

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Reply to Missing Parts
under 37 CFR 1.52 or 1.53

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Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

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Power of Attorney, Revocation

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Change of Correspondence Address

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Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s) _____

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After Allowance Communication to TC

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Appeal Communication to Board
of Appeals and Interferences

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Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

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Proprietary Information

☐

Status Letter

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Other Enclosure(s) (please identify
below):

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- 2) Duplicate of PTO/SB/08 (1pp x2);
- 3) Duplicate of IDS (2pp x2).

Remarks

Total page number does not include postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Carr & Ferrell LLP

Cust. No. 22830

Signature

Printed name

Tam Thanh Pham

Date

September 7, 2007

Reg. No.

50,565

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Marc VanHeyningen et al.
APPLICATION NO.: 09/783,146
FILED: February 13, 2001
TITLE: Method and Apparatus for Providing Secure Streaming Data
Transmission Facilities Using Unreliable Protocols
EXAMINER: Christopher J. Brown
GROUP ART UNIT: 2134
ATTY.DKT.NO.: PA4405US

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08A, listing several references.


This statement is filed subject to 37 CFR 1.97(d) in that it being filed after the period specified in paragraph (c), before payment of the issue fee and is accompanied by the statement specified in paragraph (e) of Section 1.97 and the fee set forth in § 1.17(p). In accordance with 1.97(e)(2), no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to the undersigned more than three months prior to the filing of the information disclosure statement.

The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application. The Commissioner is hereby authorized to charge any necessary fee to Account Number 06-0600. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,
Marc VanHeyningen et al.

September 7, 2007

By: 
Tam Thanh Pham, Reg. No. 50,565
Carr & Ferrell LLP
2200 Geng Road
Palo Alto, CA 94303
TEL: (650) 812-3400
FAX: (650) 812-3444



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/783,146
Filing Date	February 13, 2001
First Named Inventor	Marc VanHeyningen
Art Unit	2134
Examiner Name	Christopher J. Brown
Attorney Docket Number	PA4405US

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,351,539	02-26-2002	Djakovic	
		US- 5,673,319	09-30-1997	Bellare et al.	
		US- 6,125,186	09-26-2000	Saito et al.	
		US- 6,505,192	01-07-2003	Godwin et al.	
		US- 6,643,260	11-04-2003	Kloth et al.	
		US- 6,754,832	06-22-2004	Godwin et al.	
		US- 2002/0023209	02-21-2002	Domstedt et al.	
		US- 2004/0107286	06-03-2004	Larson et al.	
		US- 2003/0167403	09-04-2003	McCurley et al.	
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FOREIGN PATENT DOCUMENTS

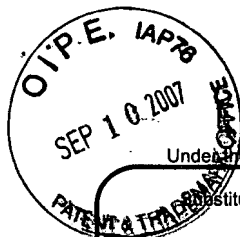
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
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Sheet	1	of	1
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		US- 2003/0167403	09-04-2003	McCurley et al.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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